

## WELSH INFORMATION STANDARDS BOARD

<b>DSC Notice:</b>	2017 / 06 v1.1
<b>Date of Issue:</b>	9 <sup>th</sup> April 2019

<b>Ministerial / Official Letter:</b> WHC (2016) 023	<b>Subject:</b> Ethnic Group (Revised)
<b>Sponsor:</b> Dr Elizabeth Davies, Mental Health and Vulnerable Groups Division	
<b>Implementation Date:</b> With immediate effect	

### DATA STANDARDS CHANGE NOTICE

A Data Standards Change Notice (DSCN) is an information mandate for a new or revised information standard.

This DSCN was approved by the Welsh Information Standards Board (WISB) out of committee 3<sup>rd</sup> April 2019

**WISB Reference:** ISRN 2016 / 001

#### Summary:

There are some discrepancies across data sets for the values listed for the ethnic group data item. This revised DSCN is to ensure that all values are aligned to those included in the 2011 census.

#### Data sets / returns affected:

- Admitted Patient Care data set
- National Community Child Health data set
- Critical Care data set
- Outpatient Referrals data set
- Emergency Department data set
- Substance Misuse data set
- Maternity Indicators data set

Please address enquiries about this Data Standards Change Notice to the Data Standards Team in NHS Wales Informatics Service

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The Welsh Information Standards Board is responsible for appraising information standards. Submission documents and WISB Outcomes relating to the approval of this standard can be found at:

<http://howis.wales.nhs.uk/sites3/page.cfm?orgid=742&pid=24632>

## **DATA STANDARDS CHANGE NOTICE**

### Introduction

The Welsh Government's guidance for healthcare practitioners on working effectively with Gypsies and Travellers, entitled 'Travelling to Better Health' identifies the need to collect information relating to the health status of this ethnic category.

The White Gypsy/Irish Traveller ethnic category was included for the first time in the Office for National Statistics 2011 Population Census.

The adoption of the 2011 Census values for ethnic category is therefore key to achieving the requirement for "continued collection of data" and, in turn, ensuring compliance with the objectives set out within this guidance.

Furthermore, the guidance states that "All LHBs and Trusts to have engaged with Welsh Government-led developments to introduce Census 2011 ethnic categories into appropriate local operational systems and central reporting." This is a clear statement in support of the need for this change.

In addition, this requirement formed a key element of the Welsh Government's NHS Outcome Framework<sup>1</sup> for 2016-17, where a number of performance measures are dependent on the collection of information that demonstrates a commitment to improving the health and wellbeing of vulnerable groups, which include Gypsies and Irish Travellers.

### Description of Change

Adopt the Office for National Statistics 2011 Population Census list of ethnic categories.

This amended DSCN is intended to provide clarity in relation to the value sets applicable to the associated national data sets, stating that the value set described in Appendix B below should be used in all relevant patient-level data sets.

### Data Dictionary Version

Where applicable, this DSCN reflects changes introduced by DDCN and/or DSCN since the release of version 4.9 of the NHS Wales Data Dictionary.

The changes introduced by such DSCNs will be published in version 4.10 of the NHS Wales Data Dictionary.

### Actions Required

Local Health Boards / Trusts:

- Health Boards update their systems and reporting processes to enable the collection and submission of the 2011 Census ethnic categories with effect from April 2017.

NHS Wales Informatics Service:

- Update the relevant infrastructure to enable the collection, storage, onward distribution and analysis of all affected data sets.
- Ensure any affected national applications managed by The Informatics Service, are updated.

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<sup>1</sup> [http://howis.wales.nhs.uk/doclib/WHC%202016%20\(023\)%20E.pdf](http://howis.wales.nhs.uk/doclib/WHC%202016%20(023)%20E.pdf)

## **Appendix A: Table reflecting areas that are impacted as a result of this DSCN**

The following table shows where there are changes to the scope and/or definitions of applicable data sets, data items, terms and other associated areas that are linked with the changes documented within this DSCN.

Each data definition type is listed in alphabetical order and is shown in the sequence in which it appears in this DSCN.

<b>Data Definition Type</b>	<b>Name</b>	<b>New/Retired/Changed</b>	<b>Page Number</b>
Data Item	Ethnic Group	Changed	5

## Appendix B: Highlighted changes to be made to the NHS Wales Data Dictionary

Changes to the NHS Wales Data Dictionary are detailed below, with new text being highlighted in **blue** and deletions are shown with a ~~strikethrough~~. The text shaded in **grey** shows existing text copied from the NHS Wales Data Dictionary.

### Changes to Data Items (A-Z)

#### Ethnic Group

This data item is / was included in the following data sets / collections between the dates shown:

Data Set / Collection	Valid From	Valid To
APC ds99	1 <sup>st</sup> April 1999	
NCCHD		
CC ds	1 <sup>st</sup> April 2007	
OPR ds	1 <sup>st</sup> September 2008	
EDDS	1 <sup>st</sup> April 2009	
SM ds	1 <sup>st</sup> April 2014	
Non – Medical Staffing	-	2 <sup>nd</sup> January 2013
MI ds	1 <sup>st</sup> April 2016	

This is the ethnic group of the patient, as selected by the patient. The patient is the arbiter of the information. Classifications are based on the ~~14+1 new~~ ethnic group data categories used in the 2001 **1** Census and the information recorded about ethnic group must be obtained by asking the patient / client.

Format: 2 character alpha-numeric – AB, where the first character (A) is a value taken from the list in the table below, and the second character (B) is a locally defined value to be used in conjunction with the nationally defined values. If no further local breakdown is required, the second character (B) should be filled with a 'Z'. Note that for the Substance Misuse and Maternity Indicators data set, only the first character (A) is submitted.

Value	Meaning	Valid From	Valid To
	<b>WHITE</b>		
A	Any White Background, including Welsh, English, Scottish, Northern Irish, Irish, British	1 <sup>st</sup> April 2002 Amended 1 <sup>st</sup> April 2017	
B	Gypsy or Irish Traveller	1 <sup>st</sup> April 2017*	
	<b>MIXED/MULTIPLE ETHNIC GROUP</b>		
D	White and Black Caribbean	1 <sup>st</sup> April 2002	
E	White and Black African	1 <sup>st</sup> April 2002	
F	White and Asian	1 <sup>st</sup> April 2002	
G	Any other mixed background / multiple ethnic background	1 <sup>st</sup> April 2002 Amended 1 <sup>st</sup> April 2017	

	<b>ASIAN OR ASIAN BRITISH</b>		
H	Indian	1 <sup>st</sup> April 2002	
J	Pakistani	1 <sup>st</sup> April 2002	
K	Bangladeshi	1 <sup>st</sup> April 2002	
R	Chinese	1 <sup>st</sup> April 2002	
L	Any other Asian background	1 <sup>st</sup> April 2002	
	<b>BLACK OR BLACK BRITISH</b>		
M	Caribbean	1 <sup>st</sup> April 2002	
N	African	1 <sup>st</sup> April 2002	
P	Any other Black background	1 <sup>st</sup> April 2002	
	<b>OTHER ETHNIC GROUPS</b>		
R	Chinese	1 <sup>st</sup> April 2002	
T	Arab	1 <sup>st</sup> April 2017*	
S	Any other ethnic group	1 <sup>st</sup> April 2002	
	<b>NOT STATED</b>		
Z	Not stated	1 <sup>st</sup> April 2002	

\*Note that this code was included as a valid value in the Maternity Indicators data set from April 2016

FOR MI ds:-

This is the ethnic group of the patient, as selected by the patient. The patient is the arbiter of the information. Classifications are based on the 14+1 new ethnic group data categories used in the 2011 Census and the information recorded about ethnic group must be obtained by asking the patient / client.

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Format: 2 character alpha-numeric

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Value	Meaning	Valid From	Valid To
-	<b>WHITE</b>	-	-
A	Any White Background, including Welsh / English / Scottish / Northern Irish / British	1 <sup>st</sup> April 2002	-
B	Gypsy or Irish Traveller	1 <sup>st</sup> April 2016	-
-	<b>MIXED/MULTIPLE ETHNIC GROUP</b>	-	-
D	White and Black Caribbean	1 <sup>st</sup> April 2002	-
E	White and Black African	1 <sup>st</sup> April 2002	-
F	White and Asian	1 <sup>st</sup> April 2002	-

G	Any other mixed background / multiple ethnic background	1 <sup>st</sup> April 2002 - <i>Amended 1<sup>st</sup> April 2016</i>	-
-	<b>ASIAN OR ASIAN-BRITISH</b>	-	-
H	Indian	1 <sup>st</sup> April 2002	-
J	Pakistani	1 <sup>st</sup> April 2002	-
K	Bangladeshi	1 <sup>st</sup> April 2002	-
R	Chinese	1 <sup>st</sup> April 2002	-
L	Any other Asian background	1 <sup>st</sup> April 2002	-
-	<b>BLACK OR BLACK-BRITISH</b>	-	-
M	Caribbean	1 <sup>st</sup> April 2002	-
N	African	1 <sup>st</sup> April 2002	-
P	Any other Black / African / Caribbean / Black British background	1 <sup>st</sup> April 2002 - <i>Amended 1<sup>st</sup> April 2016</i>	-
-	<b>OTHER ETHNIC GROUPS</b>	-	-
R	Chinese	1 <sup>st</sup> April 2002	-
T	Arab	1 <sup>st</sup> April 2016	-
S	Any other ethnic group	1 <sup>st</sup> April 2002	-
-	<b>NOT-STATED</b>	-	-
Z	<i>Not stated</i>	<i>1<sup>st</sup> April 2002</i>	<i>1<sup>st</sup> April 2016</i>

The national code must be entered as the first character in the 2-character field. The second character is an optional field only required for use locally. It must, however, be able to be grouped consistently with the 14 main categories as above. If no further local breakdown is required, the second character must be filled with a 'Z'.

The codes below are for historical information only and were retired on the 1<sup>st</sup> April 2002.  
Format: 2 character alpha-numeric

Value	Meaning	Valid From	Valid To
0	White	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
1	Black - Caribbean	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
2	Black - African	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002

3	Black – Other	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
4	Indian	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
5	Pakistani	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
6	Bangladeshi	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
7	Chinese	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
8	Any other ethnic group	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002
9	Not given	1 <sup>st</sup> July 1997	31 <sup>st</sup> March 2002