# WELSH INFORMATION STANDARDS BOARD

|  | DSC Notice:                 | DSCN 2016 / 02           |
|--|-----------------------------|--------------------------|
|  | Date of Issue:              | 28th June 2016           |
| Ministerial / Official Letter:<br>WHC/2016/034   | Subject: Materni            | ty Indicators Data Set   |
| <b>Sponsor:</b><br>Polly Ferguson<br>Nursing Lead Maternity and Women's<br>Reproductive Health, Welsh Government             |                             |                          |
| Implementation Date: 1 <sup>st</sup> April 2016  | -                           |                          |
| DATA STANDARDS   | CHANGE NOTICE               |                          |
| A Data Standards Change Notice (DSCN) is an information s  |                             | te for a new or revised  |
| This DSCN was approved by the Welsh Information the 3 <sup>rd</sup> Marc   |                             | (WISB) at its meeting or |
| WISB Reference: ISRN 2014 / 019  |                             |                          |
| Summary:   |                             |                          |
| To introduce the Maternity Indicators Data Set ar  | nd associated definit       | ions                     |
| Data sets / returns affected:  |                             |                          |
| Maternity Indicators Data Set  |                             |                          |
| Please address enquiries about this Data Stand<br>Team in NHS Wales In   |                             | e to the Data Standards  |
| E-mail: <u>data.standards@wales.</u>   | <u>.nhs.uk</u> / Tel: 02920 | 502539                   |
| The Welsh Information Standards Board is responsion standards Board is responsion documents and WISB Outcomes relation found | ating to the approva        |                          |
| http://howis.wales.nhs.uk/sites3/pa  | age.cfm?orgid=7428          | &pid=24632               |

# DATA STANDARDS CHANGE NOTICE

# **Introduction**

As part of the development of "A Strategic Vision for Maternity Services in Wales" (published in 2011<sup>1</sup>) a set of outcome indicators and performance measures were established by the Welsh Government to measure the effectiveness and quality of Welsh maternity services. In addition to these Public Health Wales (PHW) developed a set of reproductive and early year's surveillance indicators, which included measures relevant to pregnancy and the neonatal period. In July 2012 David Sissling (then Chief Executive, NHS Wales) wrote to Welsh Health Boards (HBs) to set out requirements for them to demonstrate improvements in the care provided by their maternity services.

Existing data sets do not provide the information required to produce the various maternity indicators and measures. The two national data sets that relate to maternity services are:

- 1. The Admitted Patient Care data set (APCds) mother's record and "maternity tail":
  - a. The requirements of the Maternity Indicator data set are not met by the data collected within the APCds for example, the Maternity Indicators data set includes antenatal data and home births, as well as hospital delivery data.
  - b. The APCds is predominantly populated from data captured in Health Board Patient Administration Systems (PAS), rather than the dedicated maternity IT systems used by Welsh HBs.
- 2. National Community Child Health Database (NCCHD):
  - a. Records in the Health Board Child Health System databases (which are the source of NCCHD) are started at birth, whereas the requirements of the Maternity Indicator data set also relates to the antenatal period.

In light of the current lack of usable data on maternity services, a national programme of work was initiated to establish a baseline of the quality of data associated with NHS Wales maternity services, with a view to ensuring that LHBs could collect and store data of sufficiently high quality so as to enable the production of a consistent, reliable and valid set of performance reports in relation to the national indicators. A series of data quality reviews have been undertaken collaboratively by Public Health Wales (PHW) and the NHS Wales Informatics Service; summary reports for each Health Board were prepared by PHW and NWIS and have been used in Welsh Government performance meetings with Health Boards.

Having established the data quality baseline, Welsh Government now require the implementation of the Maternity Indicators data set (MI ds), which will be sourced from HB maternity IT systems.

This new data set will replace the existing flow of maternity data (the "maternity tail" found in the APCds). A separate DSCN will be issued to confirmed changes to the APCds.

# Description of Change

To introduce the collection and submission of the new Maternity Indicators Data Set.

<sup>&</sup>lt;sup>1</sup><u>http://wales.gov.uk/topics/health/publications/health/strategies/maternity/?lang=en</u>

# Data Dictionary Version

Where applicable, this DSCN reflects changes introduced by DSCN and/or DDCN since the release of version 4.8 of the NHS Wales Data Dictionary.

The changes introduced by such DSCNs will be published in version 4.9 of the NHS Wales Data Dictionary.

# Actions Required

Actions for Local Health Boards:

- Ensure that their maternity systems and related local data warehouse infrastructure are set up to enable the national reporting of the Maternity Indicators data set.
  - NWIS monitoring of transition from manual to sql-2-sql view
- Work with the NHS Wales Informatics Service Data Warehouse team to enable the connection between national and local data warehouse infrastructure, resolving any firewall / security issues.
  - Betsi Cadwaladr and Cardiff & Vale University Health Boards do not currently store maternity activity data in their local data warehouses.
  - Both Health Boards are therefore required, temporarily, to provide monthly the relevant maternity 'events' via .csv files.
  - These files should be provided via the NWIS Secure File Sharing Portal details will be confirmed by the NWIS Data Acquisitions team.
- Provide the NWIS Data Standards team with details of any local mappings of relevant maternity data items (and their associated values) to the national reporting requirements of the MI ds.
- Ensure data is available for NWIS Data Warehouse team to collect on a monthly basis, by Friday 15th July 2016 (i.e. reporting of June 2016 data).
  - Retrospective provision of 2015/16 data (i.e. all 2015/16 births and their related 'initial assessments') and 2016/17 data is also required. To facilitate this, Health Boards are required to provide:
    - All 'initial assessment' events from 1<sup>st</sup> April 2014 onwards; and
    - All labour / birth events from 1<sup>st</sup> April 2015 onwards.

Actions for the NHS Wales Informatics Service:

- Update the relevant infrastructure to enable the collection, storage, onward distribution and analysis of the Maternity Indicators data set in time for the receipt of June 2016 data.
- Ensure affected national applications managed by NWIS, namely the Welsh Patient Administration System (WPAS, formerly known as Myrddin), are updated to enable the reporting of the Maternity Indicators data set.

# Appendix A: Table reflecting areas that are impacted as a result of this DSCN

The following table shows where there are changes to the scope and/or definitions of applicable data sets, data items, terms and other associated areas that are linked with the changes documented within this DSCN.

Each data definition type is listed in alphabetical order and is shown in the sequence in which it appears in this DSCN.

| Data Definition<br>Type   | Name  | New/Retired/<br>Changed | Page<br>Number |
|---------------------------|---|-------------------------|----------------|
| Patient Level Data<br>Set | Maternity Indicators Data Set                             | New                     | 7              |
| Data Item                 | Birth Date (Woman)  | Changed                 | 9              |
| Data Item                 | Apgar Score   | Changed                 | 9              |
| Data Item                 | Birth Date (Baby)   | Changed                 | 10             |
| Data Item                 | Birth Order   | Changed                 | 11             |
| Data Item                 | Birth Weight (g)  | Changed                 | 11             |
| Data Item                 | Case Record Number  | Changed                 | 12             |
| Data Item                 | Breast Feeding  | Changed                 | 12             |
| Data Item                 | Ethnic Group  | Changed                 | 13             |
| Data Item                 | NHS Number  | Changed                 | 16             |
| Data Item                 | Organisation Code (Code of Provider)                      | Changed                 | 19             |
| Data Item                 | Organisation Code (LHB Area of Residence)                 | Changed                 | 21             |
| Data Item                 | Patients Name   | Changed                 | 22             |
| Data Item                 | Patient Usual Address                                     | Changed                 | 22             |
| Data Item                 | Postcode of Usual Address                                 | Changed                 | 23             |
| Data Item                 | Site Code (of Treatment)                                  | Changed                 | 24             |
| Data Item                 | Sex (of Baby)   | Changed                 | 24             |
| Data Item                 | Time of Birth   | Changed                 | 25             |
| Data Item                 | Data of Initial Assessment / Booking Visit                | New                     | 26             |
| Data Item                 | Augmentation of Labour                                    | New                     | 26             |
| Data Item                 | Epidural Status   | New                     | 26             |
| Data Item                 | Estimated Blood Loss                                      | New                     | 27             |
| Data Item                 | Episiotomy  | New                     | 27             |
| Data Item                 | Existing Mental Health Conditions                         | New                     | 28             |
| Data Item                 | Foetal Lie at Onset of Labour                             | New                     | 28             |
| Data Item                 | Gestation at onset of labour                              | New                     | 29             |
| Data Item                 | Foetal Presentation at Onset of Labour                    | New                     | 29             |
| Data Item                 | Gestation Period at Initial Assessment / Booking<br>Visit | New                     | 30             |
| Data Item                 | Gravida   | New                     | 31             |
| Data Item                 | Maternal Weight at Initial Assessment / Booking           | New                     | 31             |
| Data Item                 | Maternal Height at Initial Assessment / Booking           | New                     | 31             |
| Data Item                 | Maternal Weight at 36-38 weeks or onset of labour         | New                     | 31             |
| Data Item                 | Mental Health Care Plan                                   | New                     | 32             |
| Data Item                 | Mode of onset of labour                                   | New                     | 33             |
| Data Item                 | Mode of Birth   | New                     | 33             |
| Data Item                 | Number of Foetus at Onset of Labour                       | New                     | 34             |
| Data Item                 | NHS Number (Baby)   | New                     | 34             |
| Data Item                 | Parity  | New                     | 35             |
| Data Item                 | Perineal Trauma   | New                     | 35             |
| Data Item                 | Outcome of Birth  | New                     | 35             |
| Data Item                 | Smoker at Initial Assessment / Booking                    | New                     | 36             |

| Data Item | Previous Caesarean Sections              | New | 36 |
|-----------|--|-----|----|
| Data Item | Smoker at 36-38 weeks or onset of labour | New | 36 |

# <u>Appendix B</u>: Highlighted changes to be made to the NHS Wales Data Dictionary

Changes to the NHS Wales Data Dictionary are detailed below, with new text being highlighted in **blue** and deletions are shown with a **strikethrough**. The text shaded in **grey** shows existing text copied from the NHS Wales Data Dictionary.

a) New Data Set to be added to 'Patient Level Data Sets'

# Maternity Indicators Data Set (MI DS)

### **Return Submission Details**

Data is to be extracted via a SQL-2-SQL view from the Local Health Boards data warehouse into the national (NWIS) data warehouse.

Full details of this implementation can be found here <u>Maternity Dataset Technical</u> Implementation.

The data will be extracted on a daily basis. However this does not mean that the data has to be up to date on a daily basis – the intention is simply to allow flexibility into when LHBs would like to make any new data available.

Any publications requiring the data to be updated by certain time point in time every month across all the health boards, for a consistent viewpoint of maternity information for the whole of Wales, will require a monthly deadline date to meet the reporting requirements – this will be confirmed in a subsequent DSCN.

#### Patient Security & Confidentiality

'Patient Name' and 'Patient Usual Address' (not 'Postcode of Usual Address') should not be populated in the Maternity Indicators Data Set where a valid NHS Number is present.

A valid NHS Number is one that has passed the check digit calculation on entry into the source system. If an NHS Number is not valid (i.e. does not conform with the check digit algorithm) then Patient Names and Patient Usual Addresses should not be removed, as the reliability of the NHS Number will not be known.

The NHS Number Status Indicator is a mandatory data item within the Maternity Indicators data set. 'Patients Name' and 'Patients Usual Address' should be removed when a valid NHS Number is present, even if the NHS Number Status Indicator does not have a value of 'nn - Number present and traced using Welsh NHS AR' or '01 – Number present and traced'.

#### Scope

#### The scope of the data is as follows:

 The Maternity Indicators Data Set captures data relating to the woman at initial assessment and to mother and baby (or babies) for all births. This relates to initial assessment and birth activity undertaken in Wales only. Each Health Board must make available data in relation to the initial assessments and/or birth events which they managed.

- For example, if they only carried out the initial assessment the Health Board would only be required to provide the initial assessment data. This is further detailed in the technical specification (see 'return submission details').
- Where the initial assessment and birth events take place in different Health Boards, data will be linked nationally by the NHS Wales Informatics Service.
- Velindre NHS Trust are excluded from this requirement, as they do not provide any maternity services.
- Monthly activity data must include only initial assessment and birth activity that took place in the previous month.

# Data Set Structure

1) Data items relating to the Initial Assessment

| Data Items Relating to Mother / Woman                        | Format  |
|--|---|
| Patient Name   | Unstructured alpha numeric 70 or structured with two alpha character elements |
| Patients Usual Address                                       | 175 character alpha numeric   |
| NHS Number   | 10 digit numeric  |
| NHS Number Status Indicator                                  | 2 digit numeric   |
| Case Record Number   | 10 character alpha numeric  |
| Postcode of usual address                                    | 8 character alpha numeric   |
| Organisation Code (Code of Provider)                         | 5 character alpha numeric   |
| Organisation Code (LHB Area of Residence)                    | 3 character alpha numeric   |
| Birth Date (Woman)   | 8 digit numeric , CCYYMMDD  |
| Ethnic Category (Woman)                                      | 1 alpha character   |
| Date of Initial Assessment / Booking Visit                   | CCYYMMDD  |
| Data Items Relating to the Pregnancy /<br>Initial Assessment | Format  |
| Site Code (of Treatment)                                     | 5 character alpha numeric   |
| Gestation at Initial Assessment / Booking Visit              | 2 digit numeric   |
| Parity   | 1 digit numeric   |
| Gravida  | 1 digit numeric   |
| Maternal Weight at Initial Assessment / Booking<br>Visit     | 4 character alpha numeric, kg.g   |
| Maternal Height at Initial Assessment / Booking<br>Visit     | 3 digit numeric   |
| Smoker at Initial Assessment / Booking Visit                 | 1 digit numeric   |
| Previous Caesarean Sections                                  | 2 digit numeric   |
| Existing Mental Health Condition                             | 1 digit numeric   |
| Mental Health Care Plan                                      | 1 digit numeric   |

# 2) Data items relating to Labour/Birth

| Data Items Relating to Mother / Woman | Format  |
|---------------------------------------|---|
| Patient Name                          | Unstructured alpha numeric 70 or structured with two alpha character elements |
| Patients Usual Address                | 175 character alpha numeric   |

| NHS Number  | 10 digit numeric                |
|---|---------------------------------|
| NHS Number Status Indicator                                       | 2 digit numeric                 |
| Case Record Number  | 10 character alpha numeric      |
| Postcode of usual address   | 8 character alpha numeric       |
| Organisation Code (Code of Provider)                              | 5 character alpha numeric       |
| Organisation Code (LHB Area of Residence)                         | 3 character alpha numeric       |
| Birth Date (Woman)  | 8 digit numeric , CCYYMMDD      |
| Ethnic Category (Woman)   | 1 alpha character               |
| Date of Initial Assessment / Booking Visit                        | CCYYMMDD                        |
| Data Items Relating to Woman at 36-38<br>weeks or onset of labour | Format                          |
| Maternal Weight at 36-38 weeks or onset of labour                 | 4 character alpha numeric, kg.g |
| Smoker at 36-38 weeks or onset of labour                          | 1 digit numeric                 |
| Data Items Relating to Labour and Birth                           | Format                          |
| Site Code (of Treatment)  | 5 character alpha numeric       |
| Mode of onset of Labour   | 1 digit numeric                 |
| Augmentation of Labour  | 1 digit numeric                 |
| Gestation at onset of Labour                                      | 1 digit numeric                 |
| Number of Foetus at Onset of Labour                               | 2 digit numeric                 |
| Estimated Blood Loss  | 4 digit numeric                 |
| Epidural Status   | 1 digit numeric                 |
| Perineal Trauma   | 1 digit numeric                 |
| Episiotomy  | 1 digit numeric                 |
| Foetal Lie at Onset of Labour                                     | 1 digit numeric                 |
| Foetal Presentation at Onset of Labour                            | 1 digit numeric                 |
| Outcome of Birth  | 1 digit numeric                 |
| Data Items Relating to the Baby                                   | Format                          |
| Sex (of Baby)   | 1 digit numeric                 |
| NHS Number (Baby)   | 10 digit numeric                |
| NHS Number Status Indicator (Baby)                                | 2 digit numeric                 |
| Case Record Number  | 10 character alpha numeric      |
| Birth Date (Baby)   | 8 digit numeric, CCYYMMDD       |
| Time of Birth   | 4 character alpha numeric, hhmm |
| Birth Order   | 1 digit numeric                 |
| Mode of Birth   | 1 digit numeric                 |
| Apgar Score   | 2 digit numeric                 |
| Birth Weight (g)  | 4 digit numeric                 |
|   |                                 |

#### b) Changes to Existing Data Items

### Apgar Score

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The apgar score is a measure of the physical condition of a newborn baby. It is obtained by adding points (2, 1, or 0) for heart rate, respiratory effort, muscle tone, response to stimulation and skin coloration; a score of ten represents the best possible condition.

### FOR NCCHD:

This is the total apgar score for a baby at 1 minute and 5 minutes after birth.

Format is a positive integer between 0 and 10.

#### FOR MI ds

This is the total Apgar score for a baby at 5 minutes after birth.

See Apgar Score

Format: 2 digit numeric

| Value | <u>Meaning</u>     |
|-------|--------------------|
| nn    | Apgar score (0-10) |

### **Birth Date**

| Data Set / Collection  | Valid From                 | Valid To                       |
|------------------------|----------------------------|--------------------------------|
| APC ds99               | 1 <sup>st</sup> April 1999 |                                |
| EAL ds                 | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November 2012 |
| OP ds                  | 1 <sup>st</sup> April 1999 |                                |
| CC ds                  | 1 <sup>st</sup> April 2007 |                                |
| OPR ds                 | 1 <sup>st</sup> July 2008  |                                |
| EDDS                   | 1 <sup>st</sup> April 2009 |                                |
| SBH50-59a              | -                          | 2 <sup>nd</sup> January 2013   |
| Non – Medical Staffing | -                          | 2 <sup>nd</sup> January 2013   |
| PAP ds                 | 1 <sup>st</sup> April 2013 |                                |
| SM ds                  | 1 <sup>st</sup> April 2014 |                                |

| RTDS  | 1 <sup>st</sup> April 2014 |  |
|-------|----------------------------|--|
| MI ds | 1 <sup>s⊤</sup> April 2016 |  |

Date of birth of patient / client.

Format: 8 digit numeric, CCYYMMDD

For Radiotherapy Data Set;

Format: CCYY-MM-DD

If the Date of Birth is unknown; use the date '11/11/1811' (that is 1811111)

Where <u>Birth Date Status</u> is associated with this data item, it and should be used to indicate whether Birth Date is supplied or is not applicable.

| Value    | Meaning                          | Valid From                       | Valid To                         |
|----------|----------------------------------|----------------------------------|----------------------------------|
| 0000000  | <i>Date of Birth<br/>Unknown</i> | 1 <sup>st</sup> March<br>2006    | 31 <sup>st</sup> January<br>2007 |
| 18111111 | Date of Birth<br>Unknown         | 1 <sup>st</sup> February<br>2007 | 1                                |

# (Psychiatric Census)

Date of birth of patient.

Format: 8 digit numeric, DDMMCCYY

# Birth Date (Baby)

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| APC ds                | 1 <sup>st</sup> April 1999 |          |
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

Date of birth of baby. Note that **Date Status** is not associated with this date; the provider must record this date and should always be able to supply it.

If the Date of Birth is unknown; use the date '11/11/1811' (that is 1811111)

Format: 8 digit numeric, CCYYMMDD

| Value   | Meaning               |
|---------|-----------------------|
| 1811111 | Date of Birth Unknown |

### **Birth Order**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| APC ds                | 1 <sup>st</sup> April 1999 |          |
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

This is in the case of a multiple birth, the position of this birth in the sequence.

The order of the birth where more than one birth resulted from pregnancy.

Format: 1 digit numeric

| Value | Meaning                        | Valid From                            | Valid To                      |
|-------|--------------------------------|---------------------------------------|-------------------------------|
| Ν     | Sequence number of birth (1-6) | Pre 28 <sup>th</sup> December<br>1995 | 1                             |
| 8     | Not applicable                 | Pre 28 <sup>th</sup> December<br>1995 | 1                             |
| 9     | Not known, a validation error  | 1 <sup>st</sup> May 1998              | 20 <sup>th</sup> January 2002 |

See <u>Birth Order</u>

# Birth Weight (g)

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| APC ds                | 1 <sup>st</sup> April 1999 |          |
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The weight of the baby at birth, recorded in grams.

Format: 4 digit numeric

| Value | Meaning               | Valid From                         | Valid To                         |
|-------|-----------------------|------------------------------------|----------------------------------|
| NNNN  | 0001 - 6999 grams     | Pre 28 <sup>th</sup> December 1995 |                                  |
| 7000  | 7000 grams or greater | Pre 28 <sup>th</sup> December 1995 | 30 <sup>th</sup> April 1998      |
| 9999  | Not Known             | Pre 28 <sup>th</sup> December 1995 | 20 <sup>th</sup> January<br>2002 |

See Birth Weight, Terms (A-Z)

#### **Breast Feeding**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

Breast feeding (either wholly or partially) intention recorded at birth. Include any breast feeding or giving of breast milk to infants.

Did the Mother intend to breastfeed the baby at birth?

See Breast Feeding

For NCCHD:

Values are Y or N.

FOR MI ds:

Format: 1 digit numeric

| Value | Meaning   |
|-------|---|
| 1     | Yes – includes mothers who are expressing / intend to express breast milk for their baby  |
| 2     | No  |
| 9     | Not Known – for example, baby is immediately transferred to neonatal intensive care at another healthcare provider and breastfeeding intention was not established prior to birth |

#### Case Record Number

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| Psychiatric Census    |                            |          |
| CC ds                 | 1 <sup>st</sup> April 2007 |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

This is the patient's case record number which is unique to that patient within a hospital or health care provider.

Format: 10 character alpha-numeric. Do not leave blank spaces - lead with zeros if necessary

See Local Patient Identifier

### Ethnic Group

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection  | Valid From                        | Valid To                     |
|------------------------|-----------------------------------|------------------------------|
| APC ds99               | 1 <sup>st</sup> April 1999        |                              |
| NCCHD                  |                                   |                              |
| CC ds                  | 1 <sup>st</sup> April 2007        |                              |
| OPR ds                 | 1 <sup>st</sup> September<br>2008 | 1                            |
| EDDS                   | 1 <sup>st</sup> April 2009        |                              |
| SM ds                  | 1 <sup>st</sup> April 2014        |                              |
| Non – Medical Staffing | -                                 | 2 <sup>nd</sup> January 2013 |
| MI ds                  | 1 <sup>st</sup> April 2016        |                              |

This is the ethnic group of the patient, as selected by the patient. The patient is the arbiter of the information. Classifications are based on the 14+1 new ethnic group data categories used in the 2001 Census and the information recorded about ethnic group must be obtained by asking the patient / client.

Format: 2 character alpha-numeric

| Value | Meaning                    | Valid From                 | Valid To |
|-------|----------------------------|----------------------------|----------|
|       | WHITE                      | 1                          |          |
| A     | Any White Background       | 1 <sup>st</sup> April 2002 |          |
|       | MIXED                      | 1                          |          |
| D     | White and Black Caribbean  | 1 <sup>st</sup> April 2002 |          |
| E     | White and Black African    | 1 <sup>st</sup> April 2002 |          |
| F     | White and Asian            | 1 <sup>st</sup> April 2002 |          |
| G     | Any other mixed background | 1 <sup>st</sup> April 2002 |          |
|       | ASIAN OR ASIAN BRITISH     | 1                          |          |
| H     | Indian                     | 1 <sup>st</sup> April 2002 |          |

| J | Pakistani                  | 1 <sup>st</sup> April 2002 |  |
|---|----------------------------|----------------------------|--|
| К | Bangladeshi                | 1 <sup>st</sup> April 2002 |  |
| L | Any other Asian background | 1 <sup>st</sup> April 2002 |  |
|   | BLACK OR BLACK BRITISH     |                            |  |
| М | Caribbean                  | 1 <sup>st</sup> April 2002 |  |
| Ν | African                    | 1 <sup>st</sup> April 2002 |  |
| Р | Any other Black background | 1 <sup>st</sup> April 2002 |  |
|   | OTHER ETHNIC GROUPS        |                            |  |
| R | Chinese                    | 1 <sup>st</sup> April 2002 |  |
| S | Any other ethnic group     | 1 <sup>st</sup> April 2002 |  |
|   | NOT STATED                 |                            |  |
| Z | Not stated                 | 1 <sup>st</sup> April 2002 |  |

# FOR MI ds:

This is the ethnic group of the patient, as selected by the patient. The patient is the arbiter of the information. Classifications are based on the 14+1 new ethnic group data categories used in the 2001 2011 Census and the information recorded about ethnic group must be obtained by asking the patient / client.

Format: 2 character alpha-numeric

| Value | Meaning   | Valid From  | Valid To |
|-------|---|---|----------|
|       | WHITE   |   |          |
| A     | Any White Background, including<br>Welsh / English Scottish / Northern<br>Irish / British | 1 <sup>st</sup> April 2002  | I        |
| B     | Gypsy or Irish Traveller  | 1 <sup>st</sup> April 2016  |          |
|       | MIXED/MULTIPLE ETHNIC GROUP   |   |          |
| D     | White and Black Caribbean   | 1 <sup>st</sup> April 2002  |          |
| E     | White and Black African   | 1 <sup>st</sup> April 2002  |          |
| F     | White and Asian   | 1 <sup>st</sup> April 2002  |          |
| G     | Any other mixed background / multiple<br>ethnic background                                | 1 <sup>st</sup> April 2002<br>Amended 1 <sup>st</sup><br>April 2016 | 1        |
|       | ASIAN OR ASIAN BRITISH  |   |          |
| H     | Indian  | 1 <sup>st</sup> April 2002  |          |
| J     | Pakistani   | 1 <sup>st</sup> April 2002  |          |
| K     | Bangladeshi   | 1 <sup>st</sup> April 2002  |          |

| R | Chinese  | 1 <sup>st</sup> April 2002  |                            |
|---|--|---|----------------------------|
| L | Any other Asian background   | 1 <sup>st</sup> April 2002  |                            |
|   | BLACK OR BLACK BRITISH   |   |                            |
| М | Caribbean  | 1 <sup>st</sup> April 2002  |                            |
| Ν | African  | 1 <sup>st</sup> April 2002  |                            |
| Ρ | Any other Black <mark>/ African / Caribbean /</mark><br>Black British background | 1 <sup>st</sup> April 2002<br>Amended 1 <sup>st</sup><br>April 2016 | I                          |
|   | OTHER ETHNIC GROUPS  |   |                            |
| R | Chinese  | 1 <sup>st</sup> April 2002  | 1                          |
| T | Arab   | 1 <sup>st</sup> April 2016  |                            |
| S | Any other ethnic group   | 1 <sup>st</sup> April 2002  | 1                          |
|   | NOT STATED   |   |                            |
| Ζ | Not stated   | 1 <sup>st</sup> April 2002  | 1 <sup>st</sup> April 2016 |
| Ζ | Not stated   | 1 <sup>st</sup> April 2002  | 1 <sup>st</sup> April 2016 |

The national code must be entered as the first character in the 2 character field. The second character is an optional field only required for use locally. It must, however, be able to be grouped consistently with the 14 main categories as above. If no further local breakdown is required, the second character must be filled with a 'Z'.

The codes below are for historical information only and were retired on the 1<sup>st</sup> April 2002.

#### Patient Details

Format: 2 character alpha-numeric

| Value | Meaning              | Valid From                | Valid To                       |
|-------|----------------------|---------------------------|--------------------------------|
| 0     | White                | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 1     | Black –<br>Caribbean | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 2     | Black –<br>African   | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 3     | Black – Other        | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 4     | Indian               | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 5     | Pakistani            | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 6     | Bangladeshi          | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
| 7     | Chinese              | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |

| 8 | Any other<br>ethnic group | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |
|---|---------------------------|---------------------------|--------------------------------|
| 9 | Not given                 | 1 <sup>st</sup> July 1997 | 31 <sup>st</sup> March<br>2002 |

#### **NHS Number**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To                          |
|-----------------------|----------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999 |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999 |                                   |
| CC ds                 | 1 <sup>st</sup> April 2007 |                                   |
| OPR ds                | 1 <sup>st</sup> July 2008  |                                   |
| EDDS                  | 1 <sup>st</sup> April 2009 |                                   |
| PAP ds                | 1 <sup>st</sup> April 2013 |                                   |
| SM ds                 | 1 <sup>st</sup> April 2014 |                                   |
| RTDS                  | 1 <sup>st</sup> April 2014 |                                   |
| MI ds                 | 1 <sup>st</sup> April 2016 |                                   |

It is mandatory to record the NHS Number for each patient registered with a GP practice in England and Wales. The NHS number is allocated to an individual, to enable unique identification for NHS health care purposes.

This NHS Number format was mandated for use effective  $1^{st}$  November 1997. Prior to this, the NHS Number was an alphanumeric code which ranges in size from 10 - 17 characters.

If known, the patient's Health and Care Number should be used to populate this field for patients resident in Northern Ireland.

If known, the patient's Community Health Index (CHI) Number should be used to populate this field for patients resident in Scotland.

Format: 10 digit numeric

See <u>Health and Care Number</u>

See Community Health Index (CHI) Number

Check Digit Algorithm

(This algorithm applies to the Welsh and English NHS Number and the Northern Ireland Health & Care Number. The check digit algorithm for the Scottish CHI Number is available on request from the NHS Wales Informatics Service.) Step 1 Multiply each of the first nine digits by a weighting factor as follows:

| Digit Position<br>(starting from<br>the left) | Factor |
|---|--------|
| 1   | 10     |
| 2   | 9      |
| 3   | 8      |
| 4   | 7      |
| 5   | 6      |
| 6   | 5      |
| 7   | 4      |
| 8   | 3      |
| 9   | 2      |

Step 2 Add the results of each multiplication together

Step 3 Divide the total by 11 and establish the remainder

Step 4 Subtract the remainder from 11 to give the check digit

Step 5 Check the remainder matches the check digit. If it does not, the number is invalid.

If the result of Step 4 is 11 then a check digit of 0 is used

If the result of Step 4 is 10 then the number is invalid and not used

# **NHS Number Status Indicator**

| Data Set / Collection | Valid From                 | Valid To                          |
|-----------------------|----------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999 |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999 |                                   |
| OPR ds                | 1 <sup>st</sup> July 2008  |                                   |
| EDDS                  | 1 <sup>st</sup> April 2009 |                                   |

|  | MI ds | 1 <sup>st</sup> April 2016 |  |
|--|-------|----------------------------|--|
|--|-------|----------------------------|--|

The status indicator provides information about the potential accuracy and reliability of the NHS number and hence the use to which the number can be put. The indicator can also be used to indicate the general standard of patient data quality within Trusts. This data item became mandatory in Wales in April 1999.

# Format: 2 digit numeric

| Value | Meaning  | Interpretation  | Valid From                    | Valid To |
|-------|--|---|-------------------------------|----------|
| nn    | Number present<br>and traced using<br>Welsh NHS AR                             | Welsh LHB's should look for high<br>levels of NHS numbers which have an<br>associated status indicator value of<br>'nn'.  | 1 <sup>st</sup> April<br>1999 | I        |
| 01    | Number present<br>& traced   | Providers should be striving to submit<br>numbers that have been traced<br>against an authoritative source<br>(currently the Initial Tracing Service)<br>and so are as reliable and accurate as<br>possible. Therefore Local Health<br>Boards should look for high levels of<br>NHS numbers which have an<br>associated status indicator value of<br>"01".  | 1 <sup>st</sup> April<br>1999 |          |
| 02    | Number present<br>but not traced   | This value reveals that although a<br>number is present, it has not been<br>traced against an authoritative<br>source i.e. it has most likely been<br>manually input but not sent to the<br>Initial Tracing Service for checking.<br>An exception to this could be that the<br>NHS number has entered the<br>provider system electronically from a<br>reliable and safe source other than<br>the authoritative tracing service.<br>Users of NHS numbers with a status<br>indicator value of "02" should be<br>cautious. | 1 <sup>st</sup> April<br>1999 |          |
| 03    | Trace required   | The provider should submit patient<br>details for NHS number tracing<br>before DS's are submitted. Therefore<br>the proportion of missing numbers is<br>indicated by the "03" value should be<br>very small.  | 1 <sup>st</sup> April<br>1999 | I        |
| 04    | Trace attempted<br>– no match or<br>multiple match<br>found                    | A significant proportion of "04s" could<br>indicate data quality problems at the<br>provider. However, the LHB should<br>take account of whether the provider<br>has a high level of difficulty to trace<br>patient's e.g. ethnic names, mobile<br>population.  | 1 <sup>st</sup> April<br>1999 | I        |
| 05    | Trace needs to<br>be resolved (NHS<br>number or<br>patient detail<br>conflict) | High levels of "05s" imply that the<br>NHS numbers sent by the provider<br>for check tracking are incorrect for<br>the patient. This indicates poor<br>quality of data which could either be<br>due to a poor original source e.g.  | 1 <sup>st</sup> April<br>1999 | I        |

|    |  | wrong number given on a GP referral letter, or poor data input by the provider.   |                               |   |
|----|--|---|-------------------------------|---|
| 06 | Trace in progress                                | This value indicates that the NHS<br>number has been submitted for<br>tracing but a response is awaited.<br>Local Health Boards should expect to<br>see a small proportion of these as the<br>time of DS submission may be before<br>the response from the tracing service<br>is received.  | 1 <sup>st</sup> April<br>1999 | I |
| 07 | Number not<br>present and trace<br>not required  | Two circumstances are explained by this indicator:  | 1 <sup>st</sup> April<br>1999 | 1 |
| 1  | 1.   | NHS number is not required e.g.<br>overseas visitor. This should be easy<br>to identify from other DS data items  | I                             | I |
| I  | 2.   | There is insufficient patient data to<br>enable a successful trace to be made.<br>Local Health Boards need to be more<br>cautious about this reason although<br>they should take into account the type<br>of population the provider serves e.g.<br>itinerants and mental health patients<br>who may not be willing or able to<br>provide sufficient information. |                               |   |
| I  |  | Note: Local Health Boards should<br>expect to see a small proportion of<br>cases where there is no NHS number<br>at all.  | I                             | I |
| 08 | Trace postponed<br>(baby under six<br>weeks old) | This indicator should only be used for<br>babies under six weeks old and Local<br>Health Boards should check the date<br>of birth details on the DS.  | 1 <sup>st</sup> April<br>1999 | I |

# Organisation Code (Code of Provider)

| Data Set / Collection | Valid From                 | Valid To                          |
|-----------------------|----------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999 |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999 |                                   |
| CC ds                 | 1 <sup>st</sup> April 2007 |                                   |
| OPR ds                | 1 <sup>st</sup> July 2008  |                                   |
| DATS                  |                            |                                   |
| RTT                   | 1 <sup>st</sup> April 2007 | 31 <sup>st</sup> August 2011      |

| RTT-PTR        | 1 <sup>st</sup> September 2008 | 30 <sup>th</sup> September<br>2009 |
|----------------|--------------------------------|------------------------------------|
| PP01W          |                                |                                    |
| EDDS           | 1 <sup>st</sup> April 2009     |                                    |
| RTT (Combined) | 1 <sup>st</sup> September 2011 |                                    |
| PAP ds         | 1 <sup>st</sup> April 2013     |                                    |
| RTDS           | 1 <sup>st</sup> April 2014     |                                    |
| MI ds          | 1 <sup>s⊤</sup> April 2016     |                                    |

This is the organisation code of the health care provider. The provider code identifies the health care provider who is responsible for managing the treatment of the patient.

Notes:

- 1. Healthcare providers may also act as commissioners when sub-contracting patient care services to other providers of health care.
- Although the healthcare provider identified in this data item is responsible for managing the patient's treatment, it may not necessarily be where the treatment is actually conducted. For example, where the treatment has been sub-contracted to another healthcare provider.
- 3. For OPR ds, the Organisation Code (Code of Provider) is that of the organisation receiving the referral. If the provider is a Local Health Board/Trust, use the 3 character Local Health Board/Trust code with 2 zeros placed in the 4th and 5th character position.
- 4. For Referral to Treatment Times (Combined), use the 3 character Local Health Board/Trust code.

Format:

For Patient Level Data Sets (APC, OP, CC, OPR, PAP, RTDS):-

5 character alpha-numeric Local Health Board/Trust Code with 2 zeros placed in the  $4^{th}$  and  $5^{th}$  character position.

For Aggregate Data Collections (DATS, RTT (Combined) and PP01W):-

3 character alpha numeric Local Health Board/Trust Code

| Value | Meaning                                |
|-------|--|
| ХААВВ | The organisation code for the provider |

#### Default codes:

| Value | Meaning   | Valid From                 | Valid To |
|-------|---|----------------------------|----------|
| 89997 | Non-UK provider<br>where no<br>organisation code<br>has been issued | 1 <sup>st</sup> April 2004 | 1        |

| 89999<br>Non-NHS UK<br>provider where no<br>organisation code<br>has been<br>requested<br>and issued | 1 <sup>st</sup> April 2002 |  |
|--|----------------------------|--|
|--|----------------------------|--|

See ORGANISATION CODE

## Organisation Code (LHB Area of Residence)

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                     | Valid To                          |
|-----------------------|--------------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999     |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999     | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999     |                                   |
| RTT                   | 1 <sup>st</sup> April 2007     | 31 <sup>st</sup> August 2011      |
| PP01W                 |                                |                                   |
| OPR ds                | 1 <sup>st</sup> July 2008      |                                   |
| EDDS                  | 1 <sup>st</sup> April 1999     |                                   |
| CRTT                  | 1 <sup>st</sup> June 2009      | 31 <sup>st</sup> August 2011      |
| Angiogram             | 1 <sup>st</sup> December 2008  | 1 <sup>st</sup> April 2010        |
| RTT (Combined)        | 1 <sup>st</sup> September 2011 |                                   |
| MI ds                 | 1 <sup>st</sup> April 2016     |                                   |

The Local Health Board where the patient is a resident, identified via the NHS Postcode Directory. This ensures that the Local Health Board can receive information about the care given to its residents.

Format: 3 character alpha-numeric

| Value | Meaning   | Valid From                 | Valid To |
|-------|---|----------------------------|----------|
| NAN   | The code of the LHB.<br>Codes for Welsh LHBs can be<br>accessed via the National<br>Reference Data Service –<br><u>http://nrds.cymru.nhs.uk</u> (NHS<br>Wales Users Only) | N/A                        | N/A      |
| X98   | Not applicable e.g. for overseas visitors   | 1 <sup>st</sup> April 1996 |          |

*Note:* For English Residents treated in Wales, use the Organisation Code of the Primary Care Trust (PCT) of Residence for all activity / waiting times data up to 31<sup>st</sup> March 2013.

From 1st April 2013 (inclusive) onwards, use the Organisation Code of the Clinical Commissioning Group (CCG).

Reference data files containing details of the Organisation Codes for English organisations, including the NHS postcode file, can be accessed via the NHS postcode file, which is available via the Technology Reference data Update Distribution (TRUD).

The TRUD website can be accessed via the following link:

https://isd.hscic.gov.uk

See Organisation Code

#### **Patients Name**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To                          |
|-----------------------|----------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999 |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999 |                                   |
| CC ds                 | 1 <sup>st</sup> April 2007 |                                   |
| OPR ds                | 1 <sup>st</sup> July 2008  |                                   |
| EDDS                  | 1 <sup>st</sup> April 2009 |                                   |
| RTDS                  | 1 <sup>st</sup> April 2014 |                                   |
| MI ds                 | 1 <sup>s⊤</sup> April 2016 |                                   |

This will be the patients preferred name. The patient is the arbiter of his/her name.

Format: either structured with two 35 alpha character elements (forename followed by surname) or an unstructured string of 70 characters. Use block capitals, ignore apostrophes and insert space for hyphen. Enter surname first then as many letters of the first name as possible, leaving a blank box between each part of the name. Double-barrelled surnames should be coded in the same order as in the hospital records. <u>Name Format Code</u> indicates which format is being used.

#### **Patients Usual Address**

| Data Set / Collection | Valid From                 | Valid To                          |
|-----------------------|----------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999 |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999 |                                   |
| CC ds                 | 1 <sup>st</sup> April 2007 |                                   |

| OPR ds | 1 <sup>st</sup> July 2008  |  |
|--------|----------------------------|--|
| EDDS   | 1 <sup>st</sup> April 2009 |  |
| RTDS   | 1 <sup>st</sup> April 2014 |  |
| MI ds  | 1 <sup>st</sup> April 2016 |  |

This is the usual address nominated by the patient at the time of admission or attendance. If patients usually reside elsewhere are staying in hotels, hostels or other residential establishments for a short term, say a week, they should be recorded as staying at their usual place of residence. However if long term, such as at boarding school, the school address must be recorded. University students may nominate either their home address or the address of their university accommodation. Where patients are not capable of supplying this information, because of age or mental illness, for example, then the person responsible for the patient, such as a parent or guardian, should nominate the usual address. Patients not able to provide an address should be asked for their most recent address. If this cannot be established then you should record the address as `No fixed abode' or `Address unknown'. These patients are regarded as resident in the local geographical district for contracting purposes. For birth episodes this should refer to the mother's usual place of residence.

Format: 175 character alpha-numeric. This is based on 5 lines of 35 characters. This relates to the physical layout of the address, not the logical layout and does not require intelligent intervention when splitting the text string into lines.

Prior to April 1999 the PEDW Format: 100 character alpha-numeric. This is based on 4 lines of 25 characters. This relates to the physical layout of the address, not the logical layout.

### Postcode of Usual Address

| Data Set / Collection | Valid From                 | Valid To                          |
|-----------------------|----------------------------|-----------------------------------|
| APC ds99              | 1 <sup>st</sup> April 1999 |                                   |
| EAL ds                | 1 <sup>st</sup> April 1999 | 21 <sup>st</sup> November<br>2012 |
| OP ds                 | 1 <sup>st</sup> April 1999 |                                   |
| CC ds                 | 1 <sup>st</sup> April 2007 |                                   |
| OPR ds                | 1 <sup>st</sup> July 2008  |                                   |
| EDDS                  | 1 <sup>st</sup> April 2009 |                                   |
| RTDS                  | 1 <sup>st</sup> April 2014 |                                   |
| MI ds                 | 1 <sup>st</sup> April 2016 |                                   |

This data item is / was included in the following data sets / collections between the dates shown:

The postcode applied to the usual address nominated by the patient at the time of admission or attendance.

Format: 8 character alpha-numeric. This allows a space to be inserted to differentiate between the inward and outward segments of the code, enabling full use to be made of the Royal Mail postcode functionality.

Organisation Data Service rules apply.

If a patient has no fixed abode, this should be recorded with the appropriate code (ZZ99 3VZ).

For overseas visitors, the postcode field must show the relevant country pseudo postcode commencing ZZ99, plus spaces followed by a numeric, then an alpha character, then a Z. For example, ZZ99 6CZ is the pseudo-postcode for India. Pseudo-postcodes can be found in the NHS Postcode Directory.

#### See Postcode

### (PEDW (Prior to April 1999), Psychiatric Census)

The postcode applied to the usual address nominated by the patient at the time of admission or attendance, using rules supplied above and those in the NHS Postcode User Directory.

Format: 8 character alpha-numeric. The 5<sup>th</sup> position is always blank ( $\Delta$ ) and possibly the 3<sup>rd</sup> and 4<sup>th</sup> characters may be blank also.

See Postcode

#### Sex of Baby

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

This is the sex of a baby who is born or who is registered with the Local Health Board.

Allowable values are:-

Male

Female

Not Known

FOR MI ds:

See <u>Sex</u>

#### Site Code (of Treatment)

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| APC ds99              | 1 <sup>st</sup> April 1999 |          |
| OP ds                 | 1 <sup>st</sup> April 1999 |          |
| CC ds                 | 1 <sup>st</sup> April 2007 |          |
| EDDS                  | 1 <sup>st</sup> April 2009 |          |
| PAP ds                | 1 <sup>st</sup> April 2013 |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

#### The organisation code for the site where the patient will be or is treated.

#### Format: 5 character alpha-numeric

See ORGANISATION CODE

# For outpatients and MI ds:-

Activity may take place outside the hospital, such as in the patient's home; in such cases, raising a site code is impractical. The following default codes should be used in the Outpatient ds when required:

| Value | Meaning  | Valid From                    | Valid To |
|-------|--|-------------------------------|----------|
| R9998 | Not a hospital site  | 21 <sup>st</sup> January 2002 |          |
| 89999 | Not applicable: Non-NHS providers where no site code has been requested and issued | 1 <sup>st</sup> April 2002    | 1        |
| 89997 | Not applicable: Non-UK provider  | 21 <sup>st</sup> January 2002 |          |

Ľ

Where treatment for an NHS patient is sub-commissioned to an overseas provider the default code 89997 is applicable.

## **Time of Birth**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| NCCHD                 |                            |          |
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

This is the time of birth of the child.

Format: HHMM

Infants born during the night are thought to have a greater risk of infant & early neonatal mortality and related to asphyxia.

#### c) New data items

#### Augmentation in Labour

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

Whether medical or surgical augmentation of labour was undertaken in order to accelerate labour.

The augmentation of labour is an intervention that is intended to increase the intensity of labour, usually when the caregiver feels the labour is not 'progressing', or is progressing too slowly.

Augmentation of labour differs from induction, in that the labour has already started in some way, but is not progressing, has slowed or stopped. This can also include interventions to stimulate contractions after the waters have broken on their own (although some caregivers will refer to this as an induction).

Augmenting the labour involves artificial stimulation of the contractions. This may be needed if the contractions have become weak, not coordinated (or irregular), far apart, not lasting long enough or have ceased for a period. If the labour needs augmenting, it means the contractions are not efficient enough to dilate the cervix.

# Format: 1 digit numeric

| Value | Meaning  |
|-------|--|
| 1     | Medical or surgical augmentation was undertaken    |
| 2     | No medical or surgical augmentation was undertaken |
| 9     | Not Known  |

#### Date of Initial Assessment / Booking Visit

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The date of the initial maternity assessment / booking visit where a full Health & Social Care Needs Assessment is undertaken and the antenatal sections of the maternity hand held record are completed.

#### Format: ccyymmdd

# **Epidural Status**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

#### Epidural administered for pain relief.

An Epidural is an injection of a local anaesthetic into the space outside the *dura mater* of the spinal cord in the lower back region to produce a loss of sensation especially in the abdomen or pelvic region.

### Format: 1 digit numeric

| Value | Meaning                   |
|-------|---------------------------|
| 1     | Epidural administered     |
| 2     | Epidural not administered |
| 9     | Not Known                 |

# Episiotomy

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

#### Did the woman have an episiotomy during childbirth?

Episiotomy is a surgical cut made at the opening of the vagina during childbirth, to aid a difficult delivery and prevent rupture of tissues.

#### Format: 1 digit numeric

| Value          | Meaning                                 |
|----------------|---|
| 1              | Yes                                     |
| 2              | No                                      |
| <mark>8</mark> | Not Applicable – e.g. caesarean section |
| 9              | Not Known                               |

# Estimated Blood Loss

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The estimated post-partum blood loss (measured in millilitres – ml)

#### Format: 4 digit numeric

| Value | Meaning                   |
|-------|---------------------------|
| nnnn  | Estimated blood loss (ml) |

# **Existing Mental Health Condition**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The woman reports that she has one of the following mental health conditions:

- Puerperal psychosis (severe postnatal depression)
- Bi-polar effective disorder/manic depression

- Psychosis

- Psychotic depression
- Schizophrenia
- Other

# Format: 1 digit numeric

| Value          | Meaning  |
|----------------|--|
| 1              | Woman reports that she has existing mental health condition              |
| 2              | Woman reports that she does not have an existing mental health condition |
| <mark>9</mark> | Not Known / Not Asked / Declined to answer                               |

### Foetal Lie at Onset of Labour

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| <mark>MI ds</mark>    | 1 <sup>st</sup> April 2016 |          |

The lie of the foetus at onset of labour.

A foetal lie of 'Transverse' is compatible with a foetal presentation of '08 – Other' or '09 –Not Known' only. It must not be used if the presentation is cephalic or breech.

Conversely, a foetal lie of 'Oblique' or 'Longitudinal' may only be used where the foetal presentation is '01 – Cephalic', '02 – Breech' or '08 – Other'.

Format: 1 digit numeric

| Value | Meaning      |
|-------|--------------|
| 1     | Transverse   |
| 2     | Oblique      |
| 3     | Longitudinal |
| 9     | Not known    |

## Foetal Presentation at Onset of Labour

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The presentation of the foetus at onset of labour.

The reported presentation may be different for each baby born in a multiple birth.

#### Format: 1 digit numeric

| Value | Meaning                               |
|-------|---------------------------------------|
| 1     | Cephalic                              |
| 2     | Breech                                |
| 8     | Other – i.e. a transverse / other lie |
| 9     | Not known                             |

#### Gestation at Onset of Labour

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

#### The gestation period at onset of labour, in completed weeks (rounded down).

Gestation is the carrying of an embryo or foetus inside a woman. The time interval of a gestation is known as the gestation period. This should be estimated based on the findings of ultrasound scan at the initial assessment / booking visit, which is regarded as the most reliable means of calculating the gestation period.

When an ultrasound scan is not undertaken, the gestation period should be measured from the first day of the last menstrual period (LMP), where this is thought to be reliable. Where the LMP is unknown and an ultrasound scan is not undertaken, this should be a best estimate based on likely LMP.

Please use the example below to calculate and report the gestation period at onset of labour in completed weeks:

- 9 + 6 = 9 completed weeks.
- 10 + 0 = 10 completed weeks
- 10 + 1 = 10 completed weeks etc.

#### Format: 1 digit numeric

| Value | Meaning                            |
|-------|------------------------------------|
| nn    | Gestation Period (completed weeks) |

#### Gestation Period at Initial Assessment / Booking Visit

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The gestation period at initial assessment / booking visit, in completed weeks (rounded down).

Gestation is the carrying of an embryo or foetus inside a woman. The time interval of a gestation is known as the gestation period.

An ultrasound scan is regarded as the most reliable means of calculating the gestation period.

When an ultrasound scan is not undertaken, the gestation period should be measured from the first day of the last menstrual period (LMP), where this is thought to be reliable. Where the LMP is unknown and an ultrasound scan is not undertaken, this should be a best estimate based on likely LMP.

Please use the example below to calculate and report the gestation period at initial assessment / booking visit in completed weeks:

- 9 + 6 = 9 completed weeks.
- 10 + 0 = 10 completed weeks

10 + 1 = 10 completed weeks etc.

Format: 2 digit numeric

| Value | Meaning                            |
|-------|------------------------------------|
| nn    | Gestation Period (completed weeks) |

#### Gravida

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

Gravida indicates the number of times the woman has been pregnant, regardless of whether these pregnancies were carried to term. A current pregnancy, if any, is included in this count.

#### Format: 1 digit numeric

| Value | Meaning                         |  |
|-------|---------------------------------|--|
| nn    | Gravida (Number of pregnancies) |  |

### Maternal Height at Initial Assessment / Booking Visit

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The height of the woman (in cm)as measured at the Initial Assessment (Booking Visit), or within the 10-12 week gestation period (when not undertaken at Initial Assessment).

#### Format: 3 digit numeric

| Value | Meaning                                    |
|-------|--|
| nnn   | Maternal Height at Initial Assessment (cm) |

### Maternal Weight at 36-38 weeks or onset of labour

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The weight of the woman (in kg, to the nearest 100g), as measured at 36-38 weeks, or at onset of labour. The aim is that the information relates to a point as late in the pregnancy as is practically possible.

Format: 4 character alphanumeric – kg.g

| Value            | Meaning   |
|------------------|---|
| nn.n (e.g. 82.5) | Maternal Weight at 36-38 weeks or onset of labour |

### Maternal Weight at Initial Assessment / Booking Visit

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |  |
|-----------------------|----------------------------|----------|--|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |  |

The weight of the woman (in kg, to the nearest 100g), as measured at the Initial Assessment (Booking Visit), or within the 10-12 week gestation period (when not undertaken at Initial Assessment).

Format: 4 character alphanumeric – kg.g

| Value            | Meaning                               |
|------------------|---------------------------------------|
| nn.n (e.g. 82.5) | Maternal Weight at Initial Assessment |

#### Mental Health Care Plan

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |  |
|-----------------------|----------------------------|----------|--|
| <mark>MI ds</mark>    | 1 <sup>st</sup> April 2016 |          |  |

To establish whether the woman has had a Mental Health Care Plan put in place within 4 weeks following the initial assessment.

#### A Mental Health Care and Treatment plan will:

- a) Be developed by a care coordinator in consultation with the service users and mental health providers (although the plan may be developed without the input of the patient where the outcomes cannot be agreed between all parties);
- Record the outcomes that the provision of mental health services for the relevant patient are designed to achieve;
- c) List these outcomes, record the services and/or actions that are to be provided to achieve each outcome, including when they will be provided, and state who is responsible for providing the service as well as where it will take place;

# d) Be kept under review and updated to reflect any changes in the type of care and treatment which may be required by the service user over time.

Format: 1 digit numeric

| Value          | Meaning                                     |
|----------------|---|
| 1              | Woman has Mental Health Care Plan           |
| 2              | Woman does not have Mental Health Care Plan |
| <mark>9</mark> | Not Known / Not Asked                       |

### Mode of Birth

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The mode of birth of a baby. Note that this may be different for different foetuses in the same delivery.

#### Format: 1 digit numeric

| Value | Meaning   |
|-------|---|
| 1     | Spontaneous Vaginal Birth   |
| 2     | Ventouse  |
| 3     | Forceps   |
| 4     | Elective caesarean section - caesarean section before, or at onset of labour. |
| 5     | Emergency caesarean section.  |

#### Mode of Onset of Labour

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |  |
|-----------------------|----------------------------|----------|--|
| <mark>MI ds</mark>    | 1 <sup>st</sup> April 2016 |          |  |

This is the method by which the process of labour began or delivery by a caesarean section occurred. Only those methods that are used to induce labour, such as surgical induction, medical induction or a combination of the two, should be recorded. Methods that are used to accelerate labour should not be recorded.

### Format: 1 digit numeric

| Value | Meaning  |
|-------|--|
| 1     | Spontaneous; the onset of regular contractions whether or not preceded by spontaneous rupture of the membranes.  |
| 2     | Any caesarean section carried out before the onset of labour; or a planned elective caesarean section carried out immediately following the onset of labour, when the decision was made before labour. |
| 3     | Surgical induction; by amniotomy   |
| 4     | Medical induction; including the administration of agents either orally, intravenously or intra vaginally with the intention of initiating labour.   |
| 5     | Combination of surgical induction and medical induction.   |

# NHS Number (Baby)

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| <mark>MI ds</mark>    | 1 <sup>st</sup> April 2016 |          |

See <u>NHS Number</u>

# NHS Number Status Indicator (Baby)

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To | T |
|-----------------------|----------------------------|----------|---|
| <mark>MI ds</mark>    | 1 <sup>st</sup> April 2016 |          |   |

See NHS Number Status Indicator

#### Number of Foetus at Onset of Labour

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The number of foetus at onset of labour.

Format: 2 digit numeric

| Value | Meaning            |
|-------|--------------------|
| nn    | Number of foetuses |

# **Outcome of Birth**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

An indicator of whether the birth was a live or a stillbirth (a birth on or after a gestation of 24 weeks (168 days) where the baby shows no identifiable signs of life at delivery).

Format: 1 digit numeric

| Value | Meaning     |
|-------|-------------|
| 1     | Live birth  |
| 2     | Still birth |

### Parity

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The parity group of the mother. Parity is the number of times a woman has given birth to a live neonate (any gestation) or at 24 weeks or more, regardless of whether the child was viable or non-viable (i.e. stillbirths).

# Format: 1 digit numeric

| Value | Meaning  |
|-------|--|
| 1     | Nulliparous – the mother has never previously given birth          |
| 2     | Primiparous – the mother has previously given birth once<br>only   |
| 3     | Multiparous – the mother has previously given birth more than once |

# Perineal Trauma

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

Did the woman experience a 3<sup>rd</sup> or 4<sup>th</sup> degree tear during childbirth?

#### Format: 1 digit numeric

| Value          | Meaning                                 |
|----------------|---|
| 1              | Yes                                     |
| 2              | No                                      |
| 8              | Not Applicable – e.g. caesarean section |
| <mark>9</mark> | Not Known                               |

#### **Previous Caesarean Sections**

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |

The number of previous caesarean sections performed on the woman.

A caesarean section is an operation to deliver a baby. It involves making a cut in the front wall of a woman's abdomen and womb. The operation can be a planned (elective) procedure – when a medical need for the operation becomes apparent during pregnancy or if it's requested by the mother in advance.

# Format: 2 digit numeric

| Value           | Meaning                               |
|-----------------|---------------------------------------|
| nn              | Number of previous caesarean sections |
| <mark>99</mark> | n/a - no previous caesarean sections  |

#### Smoker at 36-38 weeks or onset of labour

| Data Set / Collection | Valid From                 | Valid To |
|-----------------------|----------------------------|----------|
| <mark>MI ds</mark>    | 1 <sup>st</sup> April 2016 |          |

# The smoking status of the woman at 36-38 weeks, or onset of labour – i.e. is the woman a smoker?

Wherever possible, this should be validated via Carbon Monoxide testing (i.e. CO-validated). Where not CO-validated, this should be the self-reported smoking status of the mother. The aim is that the information relates to a point as late in the pregnancy as is practically possible.

### Format: 1 digit numeric

| Value | Meaning                                    |
|-------|--|
| 1     | Smoker - CO validated                      |
| 2     | Smoker – Self Reported                     |
| 3     | Non Smoker – CO validated                  |
| 4     | Non Smoker – Self Reported                 |
| 9     | Not Known / Not Asked / Declined to answer |

# Smoker at Initial Assessment / Booking Visit

This data item is / was included in the following data sets / collections between the dates shown:

| Data Set / Collection | Valid From                 | Valid To |  |
|-----------------------|----------------------------|----------|--|
| MI ds                 | 1 <sup>st</sup> April 2016 |          |  |

The smoking status of the woman at the time of the Initial Assessment / Booking Visit – i.e. is the woman a smoker?

Wherever possible, this should be validated via Carbon Monoxide testing (i.e. CO-validated). Where not CO-validated, this should be the self-reported smoking status of the mother.

#### Format: 1 digit numeric

| Value    | Meaning                                    |
|----------|--|
| <b>1</b> | Smoker - CO validated                      |
| 2        | Smoker – Self Reported                     |
| 3        | Non Smoker – CO validated                  |
| 4        | Non Smoker – Self Reported                 |
| 9        | Not Known / Not Asked / Declined to answer |

#### d) New Terms

### Initial Assessment

This is the date on which a pregnant woman was first assessed by hospital staff and arrangements were made for antenatal care. This is not necessarily the occasion on which arrangements were made for delivery.

e) Changes to Existing Terms (Deleted Terms)

#### Apgar Score

Apgar scoring is an assessment for newborn babies.

Heart rate, breathing, muscle tone, responsiveness, and colour are scored from 0 to 2 at one minute and five minutes after birth.

#### Birth Order

Birth Order denotes the order of the birth where more than one birth resulted from pregnancy.

Multiple pregnancy increases the risk of prenatal/neonatal morbidity and mortality.

#### Breast Feeding

This is a Health Promotion Indicator.

Breast-feeding is acknowledged as optimal nutrition for children. Better health outcomes later in life, particularly with regard to the prevention of heart disease and strokes have been reported. The DH has consistently sought to promote to breast-feeding. Will enable the monitoring of coverage and the outcome of interventions designed to increase coverage.

Breast-feeding at six-eight weeks is recorded at the six-eight week check. Breast feeding at four months is recorded with Immunisation.